

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Frank P. Carau Sr.

Confirmation No.:

Application No.:

Examiner:

Filing Date:

Group Art Unit:

Title: METHOD FOR ALLOWING APPLIANCE-TO-APPLIANCE COMMUNICATION TRANSACTION

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO-1449. References were disclosed in Patent Application No. 10/327,570 filed 12/19/2002, now U.S. Patent No. _____, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

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Date of Deposit **October 8, 2003**

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

By

Typed Name: **Melissa A. Haapala**

Respectfully submitted,

Frank P. Carau Sr.

By

Melissa A. Haapala

Attorney/Agent for Applicant(s)
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Date: **October 8, 2003**

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FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 10971611-5	APPLICATION NO.	CONFIRMATION NO.
	APPLICANT Frank P. Carau Sr.		
	FILING DATE	GROUP	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	5,109,222	04/28/1992	Welty	
	1B	6,289,373-B1	09/11/2001	Dezonno, Anthony J.	
	1C	6,119,180-A	09/12/2000	Terho et al.	
	1D	6,003,084-A	12/14/1999	Green et al.	
	1E	5,790,800-A	08/04/1998	Gauvin et al.	
	1F	5,555,298-A	09/10/1996	Jonsson, Bjorn E.R.	
	1G	5,276,679-A	01/04/1994	McKay et al.	
	1H				
	1I				
	1J				
	1K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L	WO 99/09780	25 Feb 1999	Baberg		
	1M	WO 98/29944	9 July 1998	Dacey		
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

EXAMINER

DATE CONSIDERED